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## SFC Prosecutes Wong Yin Ling for Short Selling

24 Aug 1999

The Securities and Futures Commission (SFC) announced today that it had successfully prosecuted Wong Yin Ling (Wong) for short selling securities on the Stock Exchange of Hong Kong Limited in February and March 1998.

Wong pleaded guilty before Ms Pang Ip Tsui-yuk at Western Magistracy to 18 summonses in relation to her short selling of securities in contravention of Section 80 of the Securities Ordinance. Section 80(1) of the Securities Ordinance prohibits the sale of securities when the seller does not have a presently exercisable and unconditional right to sell.

Wong was fined a total of \$36,000 and was ordered to pay investigation costs of \$22,913 to the SFC.

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## 證監會成功檢控王燕玲非法沽空證券

1999年8月24日

證券及期貨事務監察委員會(證監會)在8月24日公布,本會已成功檢控王燕玲(王氏)在1998年2月23日於香港聯合交易所有限公司沽空證券。

王氏在西區裁判法院向彭葉翠玉裁判官承認18項指其違反《證券條例》第80條關於沽空證券的控罪。《證券條例》第80(1)條禁止證券賣方在未有即使行使權及無條件出售權的情況下出售證券。

王氏被判罰款36,000元及被命令須向證監會支付調查費用22,913元。

最後更新日期:2012年8月1日