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## SFC Prosecutes Chan Ying Ming Simon and Ming Kee Investments Limited for Contravening the Securities (Disclosure of Interests) Ordinance

28 Apr 1998

The Securities and Futures Commission announced today that it had successfully prosecuted Mr. Chan Ying Ming, Simon (Chan), a former director of Billion International Holdings Limited (Billion) under the Securities (Disclosure of Interests) Ordinance for failing to report on two occasions in March 1997 his interest in the disposal of a total of 75 million shares in Billion through Ming Kee Investments Limited (Ming Kee), a company which he controlled. Ming Kee was also prosecuted for failing to report these disposals as a substantial shareholder of Billion.

In regard to these breaches, Ms Polly Lo at Western Magistracy, fined both Chan and Ming Kee \$10,000 for their breaches. They were also ordered to pay costs totalling \$23,427 to the SFC.

For further information, please contact Bill Weeks at 2840-9289 or Chan Chi-keung at 2842-7624.

Page last updated : 1 Aug 2012

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## 證監會檢控陳英鳴及 Ming Kee Investments Limited 指其違反《證券（披露權益）條例》

1998年4月28日

證券及期貨事務監察委員會今天公布，該會已根據《證券（披露權益）條例》，成功檢控上億國際控股有限公司（上億）前任董事陳英鳴（陳氏），指其在1997年3月先後兩度透過一家由其控制的公司 Ming Kee Investments Limited (Ming Kee) 出售合共7,500萬股上億股份，但卻未有依法作出申報。此外，身為上億的大股東的 Ming Kee，亦因為未有申報上述出售交易而被檢控。

西區裁判法院盧恒福裁判法官就上述違例事件，判處陳氏及 Ming Kee 各罰款10,000元。此外，法院亦下令陳氏及 Ming Kee 須向證監會支付合共23,427元的調查費用。

如有任何查詢，請致電與韋克志 (2840 - 9289) 或陳志強 (2824 - 7624) 聯絡。

最後更新日期：2012年8月1日