

## SFC Suspends Commodity Dealer's Representative

13 Feb 1997

The Securities and Futures Commission ("SFC") announced that it had, on 10 February 1997, suspended the registration of Mr Cheung Chi Man ("Cheung") as a commodity dealer's representative until 16 March 1998. The SFC decided that Cheung's fitness and properness to act as a commodity dealer's representative was impugned by his having been found guilty of misconduct as a securities dealer's representative under Section 56 of the Securities Ordinance for participating in trading malpractices through Tsun Chi Yuen Securities Company Limited and Asian Pacific Investment Company in December 1993. The SFC on 16 December 1996 suspended the registration of Cheung as a securities dealer, which he had obtained in July 1995, for 15 months.

Page last updated : 1 Aug 2012

[主頁](#) ▶ [新聞稿及公布](#) ▶ [新聞稿](#) ▶ [執法消息](#)

## 證監會暫時吊銷商品交易商代表的註冊

1997年2月13日

證券及期貨事務監察委員會("證監會")公布,該會已於1997年2月10日暫時吊銷張智民("張氏")的商品交易商代表註冊,直至1998年3月16日為止。證監會認為鑑於張氏曾被發現於1993年12月身為證券交易商代表期間,透過秦志遠證券有限公司及大亞投資公司,以不當手法進行交易,觸犯《證券條例》第56條所指的失當行為,因而令人質疑張氏是否適宜繼續註冊為商品交易商代表。證監會已於1996年12月16日暫時吊銷張氏於1995年7月獲得的作為證券交易商的註冊,為期15個月。

如有任何查詢,請致電28409287與韋克志或陳志強聯絡。

最後更新日期:2012年8月1日