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## Solicitor and sisters granted leave to appeal to Court of Final Appeal

6 Mar 2018

The Court of Appeal today granted leave for Mr Lee Kwok Wa, a solicitor, and his two sisters to take their case to the Court of Final Appeal in relation to the interpretation of section 300 of the Securities and Futures Ordinance (SFO) arising from a case on fraudulent or deceptive transactions involving securities, subject to a final approval by the Court of Final Appeal.

On 15 January 2016, the Court of First Instance (CFI) granted orders under section 213 of the SFO brought by the Securities and Futures Commission (SFC) against Lee, one of his sisters Patsy Lee Siu Ying, and another solicitor Ms Betty Young Bik Fung for contravention of section 300 in relation to Taiwan-listed Hsinchu Bank Company Ltd shares and contravention of section 291 in relation to Hong Kong-listed Asia Satellite Telecommunication Holdings Ltd shares. CFI also made restoration orders against Lee's other sister Stella Lee Siu Fan in respect of the same transactions (Note 1).

The defendants lodged an appeal against the decision of the CFI but Young withdrew her appeal before the Court of Appeal heard the case.

On 9 November 2017, the Court of Appeal upheld the decision of the CFI which had ruled in favour of an insider dealing and fraud case brought by the SFC against the two solicitors and the sister (Note 2).

The date of the hearing at the Court of Final Appeal has not been fixed.

End

Notes:

1. Please see the SFC's press release dated [15 January 2016](#).
2. Please see the SFC's press release dated [9 November 2017](#).

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## 事務律師及其兩名姊姊獲給予向終審法院提出上訴的許可

2018年3月6日

上訴法庭今天向一名事務律師李國華（男）及其兩名姊姊給予上訴許可，准許將其與《證券及期貨條例》（該條例）第300條的詮釋有關的案件上訴至終審法院，惟須獲終審法院最終批准。有關詮釋是因一宗涉及證券的欺詐或欺騙交易的案件所產生。

原訟法庭在2016年1月15日根據該條例第213條就證券及期貨事務監察委員會（證監會）對李、他其中一名姊姊李少英及另一名事務律師楊碧鳳（女）提出的訴訟作出命令，原因是他們就台灣上市公司新竹國際商業銀行股份有限公司的股份交易違反了第300條的規定，以及就香港上市公司亞洲衛星控股有限公司的股份交易違反了第291條的規定。原訟法庭亦就有關交易向李的另一名姊姊李少芬作出回復原狀命令（註1）。

該等答辯人就原訟法庭的裁定提出上訴，但楊在原訟法庭就有關上訴進行聆訊前，撤回了其所提出的上訴。

上訴法庭在2017年11月9日維持原訟法庭早前對證監會就一宗內幕交易及詐騙案對該兩名事務律師及李的其中一名姊姊提出的訴訟並獲勝訴的裁決（註2）。

有關聆訊日期有待確定。

完

備註：

1. 請參閱證監會2016年1月15日的新聞稿。
2. 請參閱證監會2017年11月9日的新聞稿。

最後更新日期：2018年3月6日