
Enforcement News

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26 April 2007

SFC Prosecutes Lau Kam Chee for Contravening Part XV of the Securities and Futures Ordinance

The SFC has successfully prosecuted Mr Lau Kam Chee under Part XV of the Securities and Futures Ordinance.

On 3 May 2006, Lau, a substantial shareholder of Grandy Corporation (now re-named as Hua Xia Healthcare Holdings Limited), sold 3.06 million shares in Grandy. He failed his duty to notify the Stock Exchange within the specified period regarding his disposal of Grandy shares.

Lau today pleaded guilty to one summons before Mr Joseph To, a Magistrate at Eastern Magistracy. Lau was fined \$1,000 and ordered to pay investigation costs of \$8,628 to the SFC.

Ends

Page last updated: 20 June 2007

與執法有關的新聞

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2007年 4月 26日

證監會檢控劉金枝指其違反《證券及期貨條例》第**XV**部

證監會根據《證券及期貨條例》第**XV**部成功檢控劉金枝(男)。

在2006年5月3日，身為泓迪有限公司(現已易名為華夏醫療集團有限公司)大股東的劉賣出3,060,000股泓迪股份。劉未有履行責任在指明期間內就其出售泓迪股份一事向聯交所作出具報。

劉今天在東區裁判法院向杜浩成裁判官承認一項控罪。劉被判處罰款1,000元及被命令須向證監會繳付調查費8,628元。

完

最後更新日期: 2007年 6月 20日