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SFC Prosecutes Tsui Muk Lan for Contravening Part XV of the Securities and Futures Ordinance

28 Oct 2004

The SFC has successfully prosecuted Miss Tsui Muk Lan for her failure to make proper disclosures of interests.

Tsui today pleaded guilty to nine summonses in relation to her failure to make proper disclosures to Hong Kong Exchanges and Clearing Limited and First Asia Capital Investment Limited of the decrease of her notifiable interests in First Asia on 26 November 2003, and increase of her notifiable interests in First Asia on 19, 24 and 30 December 2003 and 7 January 2004.

Mr Timothy Casewell, a Magistrate at Eastern Magistracy, fined Tsui \$18,000 and ordered her to pay investigation costs of \$16,033 to the SFC.

Ends

Page last updated : 1 Aug 2012

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證監會檢控徐木蘭 違反《證券及期貨條例》第XV部

2004年10月28日

證監會成功檢控徐木蘭(女)，指其未有作出適當的權益披露。

徐氏今天承認9項控罪。有關控罪指其未有就其擁有第一亞洲資本投資有限公司的須具報權益於2003年11月26日有所減少，及於2003年12月19、24及30日及2004年1月7日有所增加等事宜，向香港交易及結算所有限公司及第一亞洲資本投資有限公司作出適當披露。

東區裁判法院裁判官祁士偉判處徐氏罰款18,000元及命令徐氏須向證監會繳付調查費16,033元。

完

最後更新日期：2012年8月1日