Enforcement Actions

13 May 2002

SFC Prosecutes Mr Zhang Daxiang and Best Fortune Capital Ltd for Contravening the Securities (Disclosure of Interests) Ordinance

The Securities and Futures Commission (SFC) announces today that it has successfully prosecuted Zhang Daxiang (Zhang), a former executive director of Prosper eVision Limited (Prosper eVision), and his company, Best Fortune Capital Ltd, a corporate substantial shareholder of Prosper eVision, under the Securities (Disclosure of Interests) Ordinance (SDIO).

Zhang today pleaded guilty to 4 representative summonses regarding his failure to make timely disclosure to the Hong Kong Exchanges and Clearing Limited (HKEx) of some 70 trades in Prosper eVision shares executed between July and December 2000. These trades resulted in a disposal of a net total of 29.552 million shares.

Best Fortune Capital Ltd also pleaded guilty to 2 summonses regarding its failure to make timely disclosure to the HKEx of the acquisitions of a net total of 13.122 million Prosper eVision shares between 3 November and 7 November 2000.

Mr Symon Wong, a Magistrate at Western Magistracy, fined Zhang and Best Fortune Capital Ltd \$4,800 and \$2,400 respectively and ordered them to pay costs totalling \$18,636 to the SFC.

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執法行動

2002年5月13日

證監會成功檢控章大庠及Best Fortune Capital Ltd 違反《證券(披露權益)條例》

證券及期貨事務監察委員會(證監會)今天公布,本會已根據《證券(披露權益)條例》,成功檢控盈盛數碼世紀有限公司(盈盛數碼)前執行董事章大庠(章氏)及由其擁有的盈盛數碼大股東Best Fortune Capital Ltd。

章氏今天承認4項代表性控罪。有關控罪指章氏未有適時地向香港交易及結算所有限公司披露其在2000年7月至12月期間進行的70多宗有關盈盛數碼股份的交易。有關交易導致章氏出售的股份淨額達2,955.2萬股。

Best Fortune Capital Ltd 亦承認2項控罪。有關控罪指該公司未有適時地向香港交易所披露其在2000年11月3日至11月7日期間進行以購入淨額達1,312.2萬股盈盛數碼股份的交易。

西區裁判法院黃汝榮法官分別判處章氏及Best Fortune Capital Ltd罰款4,800元及2,400元,以及須向證監會支付共18,636元調查費用。