



Press Releases

Ex-bank manager charged by ICAC with accepting bribes from clients over stock trading

2021-12-7

The ICAC today (December 7) charged a former manager of a bank with accepting bribes totalling about \$60,000 from two clients for assisting them in the trading of stocks.

The investigation arose from a corruption complaint received in mid-2020. Upon completion of the investigation, the ICAC sought legal advice from the Department of Justice, which advised charges be laid against the former bank manager.

Lam Man-leung, 48, former securities manager of Shanghai Commercial Bank Limited (Shanghai Commercial Bank), faces two counts of conspiracy for agent to accept advantages, contrary to Section 9(1) (a) of the Prevention of Bribery Ordinance and Section 159A of the Crimes Ordinance.

The defendant was released on ICAC bail, pending his court appearance in the West Kowloon Magistrates' Courts on Thursday (December 9) for plea.

At the material time, the defendant was employed by Shanghai Commercial Bank as securities manager of a branch. His job duties included receiving stock trading instructions from clients and providing investment advice to clients upon request.

The defendant was not entitled to any commissions or incentives from the bank upon completion of trading transactions. All staff members of Shanghai Commercial Bank were prohibited from accepting any advantages in relation to the affairs of the bank unless approved by the bank.

One of the charges alleges that between December 2016 and December 2019, the defendant conspired together with a client of Shanghai Commercial Bank for him, without lawful authority or reasonable excuse, to accept approximately \$10,000 from the client as an inducement to or reward for assisting the client in the sale and purchase of stocks.

Another charge alleges that between January 2007 and December 2010, the defendant conspired together with another client of Shanghai Commercial Bank for him, without lawful authority or reasonable excuse, to accept approximately \$50,000 from the client as an inducement to or reward for assisting the client in the sale and purchase of stocks.

It is alleged that the defendant accepted the bribes after the above two clients made profits from stock trading upon his investment advices.

Shanghai Commercial Bank has rendered full assistance to the ICAC during its investigation into the case.

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新聞公佈

廉署起訴銀行前經理涉嫌就股票買賣向客戶收賄

2021年12月7日

廉政公署今日(12月7日)落案起訴一名銀行前經理，控告他涉嫌從兩名客戶收取賄款共約60,000元，以協助對方買賣股票。

廉署於2020年中接獲貪污投訴遂展開調查，完成調查後向律政司徵詢法律意見，並按有關法律意見落案起訴該名銀行前經理。

林文亮，48歲，上海商業銀行有限公司(上海商業銀行)前證券部經理，被控兩項串謀使代理人接受利益罪名，違反《防止賄賂條例》第9(1)(a)條及《刑事罪行條例》第159A條。

被告獲廉署准予保釋，以待星期四(12月9日)在西九龍裁判法院答辯。

被告案發時任職上海商業銀行一間分行證券部經理。他的職責包括接受客戶的股票買賣指示，並應客戶要求提供投資建議。

完成交易後，被告不會獲該銀行發放任何佣金或獎勵。上海商業銀行所有員工，除非獲得銀行批准，否則不得就與該銀行有關的事務從他人收受利益。

其中一項控罪指被告涉嫌於2016年12月至2019年12月期間，與上海商業銀行一名客戶一同串謀，使被告在無合法權限或合理辯解下，從對方收取約10,000元，作為協助對方買賣股票的誘因或報酬。

另一項控罪指被告涉嫌於2007年1月至2010年12月期間，與上海商業銀行另一名客戶一同串謀，使被告在無合法權限或合理辯解下，從對方收取約50,000元，作為協助對方買賣股票的誘因或報酬。

被告涉嫌於上述兩名客戶獲其投資建議而獲利後，從對方收取有關賄款。

上海商業銀行在廉署調查案件期間提供全面協助。

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Name of Relevant Individual : LAM, MAN LEUNG
Chinese Name of Relevant Individual : 林文亮
HKMA Registration Number : AA8239

Current Registration

Not Applicable

Business Address

Not Applicable

Conditions

Not Applicable

Registration History

HKMA Registration Number	Registered Institution	Regulated Activity	Effective Period	Is an Executiv Officer? (Yes / No)
AA8239	SHANGHAI COMMERCIAL BANK LIMITED	Type 4: advising on securities	09 Oct 2008 - 18 May 2021	No
AA8239	SHANGHAI COMMERCIAL BANK LIMITED	Type 1: dealing in securities	01 Apr 2003 - 18 May 2021	No
AA8239	SHANGHAI COMMERCIAL BANK LIMITED	Type 7: providing automated trading services	01 Apr 2003 - 22 Jun 2004	No

Public Disciplinary Actions

Records of public disciplinary actions taken against the relevant individual by the SFC

Not Applicable

Records of public disciplinary actions taken against the relevant individual by the HKMA

Not Applicable

Public disciplinary actions (if any) that took effect over five years ago are not shown.
