

Eight and a half more months in jail for ex-bank manager

14 December 2016

A former manager of a bank, currently serving an 18-month jail for bribery and fraud, was today (December 14) ordered to serve an additional eight and a half months in jail at the District Court for having defrauded the bank of commissions in a separate trial arising from the same ICAC investigation.

Steve Chow Chun-yin, 32, a former Wealth Management Manager of the Hongkong and Shanghai Banking Corporation Limited (HSBC), earlier pleaded guilty to three counts of conspiracy to defraud, contrary to Common Law, while the prosecution offered no evidence to three similar charges against him.

In sentencing, Judge Gary Lam Kar-yan said the offences committed by Chow constituted a serious breach of trust.

The judge sentenced Chow to 10 months' imprisonment, of which six weeks would be run concurrently with his current 18-month jail term for bribery and fraud offences received earlier at the District Court.

The court heard that at the material time, Chow, Chau Hang-yu (formerly known as Aixingero Chat-yung) and Li Lee were employed by HSBC as Wealth Management Managers to promote and sell its investment and insurance products to clients. Chau was later promoted to Associate Relationship Manager. They were remunerated by a fixed monthly salary plus sales commission.

In September 2012, Chow proposed to Li to falsely act as the handling sales officer of some insurance applications and unit trust subscription orders, which were actually handled by Chau, who had reached her sales commission ceiling, and submitted the same to HSBC. Li agreed.

On two occasions in September and October 2012, Chow arranged Li to submit one insurance application and two unit trust subscription orders, which were processed and handled by Chau, to HSBC purporting that Li was the handling sales officer of the above and had conducted the sale herself. As a result, HSBC was misled to award a total of \$118,400 commissions to Li.

In November 2012, Li gave Chau \$55,000 in cash at a coffee shop in the presence of Chow.

Chau, who was also charged by the ICAC for her role in this case, earlier pleaded guilty to two counts of bribery and six of conspiracy to defraud, while the prosecution offered no evidence to six similar charges against her.

She was sentenced to 12 months' imprisonment at the District Court on October 4 this year. Judge Gary Lam Kar-yan ordered that two weeks of the sentence be run concurrently with her 12-month jail term for defrauding the bank in another case arising from the same ICAC investigation which took place earlier at the District Court.

HSBC had rendered full assistance to the ICAC during its investigation.

The prosecution was today represented by prosecuting counsel Louisa Lai, assisted by ICAC officer Berek Fung.

[Back to Index](#)

前銀行經理串謀詐騙加刑八個半月

2016年12月14日

一名早前因貪污及欺詐被判囚十八個月的前銀行經理，在源於同一項廉署調查的另一宗案件中，因詐騙有關銀行佣金，今日(十二月十四日)在區域法院被判加刑八個半月。

周俊彥，三十二歲，香港上海滙豐銀行有限公司(滙豐銀行)前財富管理經理，早前承認三項串謀詐騙罪名，違反普通法，而被告另外三項相類罪名則獲控方不提證供起訴。

法官林嘉欣判刑時表示，周俊彥干犯的罪行嚴重違反誠信，判處他入獄十個月，其中六個星期與他正在服刑的十八個月刑期同期執行。他早前因觸犯貪污及欺詐罪在區域法院被判囚。

案情透露，周俊彥、周倬如(前稱愛新覺羅札蓉)及李莉於案發時獲滙豐銀行聘用為財富管理經理，負責向客戶推廣及銷售其投資及保險產品。周倬如其後晉升為客戶經理。他們的薪酬包括基本薪金和銷售佣金。

周俊彥於二〇一二年向李莉建議，由她假扮處理某些投保申請表及信託基金認購委託單的銷售人員，並向滙豐銀行遞交有關申請表及委託單。事實上，該等申請表及委託單由周倬如處理，惟其銷售佣金已達上限。李莉同意有關安排。

於二〇一二年九月至十月期間，周俊彥兩次安排李莉向滙豐銀行遞交由周倬如處理的一份投保申請表及兩份單位信託基金認購委託單，虛假地表示李莉為負責的銷售人員，並由她進行相關銷售。滙豐銀行因而被致使向李莉發放共十一萬八千四百元佣金。

李莉在周俊彥陪同下，於二〇一二年十一月在一間咖啡室給予周倬如五萬五千元現金。

周倬如亦因涉案而被廉署起訴。她早前承認兩項貪污罪名及六項串謀詐騙罪名，其另外六項相類罪名則獲控方不提證供起訴。

周倬如本年十月四日在區域法院被判入獄十二個月。法官林嘉欣命令其中兩星期與她正在服刑的刑期同期執行。她在該宗源於同一項廉署調查的案件中，因欺詐該銀行而被判囚。

滙豐銀行在廉署調查案件期間提供全面協助。

控方今日由大律師黎雅雯代表控方出庭，並由廉署人員馮志健協助。

[返回目錄](#)